

Patent Application

of

Dale Buermann

for

**Simultaneous Compensation of Source and Detector Drift in
Optical Systems**

Field of the Invention

This invention relates to optical systems for performing measurements, and in particular to an apparatus and method for simultaneous compensation of drift occurring in a light source and in a light detector.

Background and Prior Art

In many fields optical measurement methods are preferred over other approaches because of their non-destructive nature and high accuracy. For example, measurements of reflected or transmitted light can be used to determine numerous physical properties of objects. In fact, optical measurements can be used to study the surface and the interior of objects or of the layers of which the objects are made. The basic physical parameters which can be derived from the study of reflected and transmitted light include thickness, index of refraction, extinction coefficient, surface roughness and energy bandgap of the material making up the object or of a particular layer of the object, e.g., the top layer. Other properties and information about the object and/or its layers, such as

material composition, mechanical condition, doping level etc. can be derived from these basic physical parameters.

The prior art teaches optical systems for performing optical measurements using light reflected and/or transmitted by the object being studied. Furthermore, methods for analyzing the reflectance and transmittance data, e.g., spectrum, polarization, intensity and other characteristics of the reflected or transmitted light are also known. For example, in U.S. Patent No. 4,905,170 Forouhi et al. describe an optical method for determining physical parameters of thin films based on reflectivity or transmittance data obtained over a range of wavelengths.

Further improvements in optical measurement and analysis techniques are frequently hampered by hardware problems. Specifically, light sources delivering the light incident on the samples are subject to intensity fluctuations. Also, light detectors positioned to receive the reflected or transmitted light are subject to fluctuations in detection sensitivity. These fluctuations are typically non-uniform across any given wavelength range and difficult to predict or unpredictable. They are caused by external influences such as temperature, pressure, humidity and operating conditions such as mechanical vibration, current, wear, aging and others.

Over time, source and detector fluctuations add up to produce source and detector drift. In general, the drift in the light source is not related to the drift in the light detector. Thus, in some cases the relative drift between source and detector can be the sum of the drifts.

Fig. 1 shows a simplified prior art optical measurement system having a light source 16 for illuminating a test sample 12

with a probe beam 18, and a detector 20 for receiving a reflected beam 22. Source 16 spans a certain wavelength range. The intensity I_s of beam 18 is graphed adjacent source 16 as a function of wavelength λ at an initial time t_0 , e.g., at start-up, and at a later time t_1 , e.g., after several minutes of operation. Likewise, the detection signal I_d of detector 20 is graphed adjacent detector 20 at times t_0 and t_1 . At time t_1 the source drift and detector drift are clearly significant and not correlated over the wavelength range. Hence, any calibration between source intensity I_s and detector signal I_d at time t_0 can not be used for compensating the source and detector drifts at time t_1 .

The prior art teaches to compensate for source drift in optical systems. For example, U.S. Patent No. 5,054,878 to John S. Gergely et al. teaches a device that automatically compensates for source light drift at the output end. In this invention, the output of the light source is coupled into a fiber optic, and a portion of the light output from the fiber optic is directed into a calibrated photodiode. The calibrated photodiode is connected to a transimpedance amplifier. The remainder of the light output by the fiber optic is focused into a test sample, and the light reflected from the test sample is measured using a detector. The signal detected at the detector is compared with the signal read at the transimpedance amplifier. To compensate for the drift in the light source, the signal from the transimpedance amplifier is divided into the signal from the detector.

The solution of Gergely et al. does not account for detector drift. In fact, most prior art solutions concentrate on stabilizing or compensating the light source by adjusting the external parameters and/or the operating conditions. For example, source intensity is often compensated by adjusting the

any time during a measurement cycle in an optical measurement system.

Yet another object of the invention is to provide a simple and inexpensive apparatus for drift compensation which is easy to use.

Further objects and advantages of the invention will become apparent upon reviewing the below specification.

Summary of the Invention

The objects and advantages of the invention are attained by a method for simultaneously compensating a source drift of a light source and a detector drift of a light detector. A first beam path is provided for a probe beam generated by the source and traveling from the light source to a test location. A second beam path is provided from the test location to the light detector. The beam paths are arranged to intersect or cross at a beam crossing.

A calibration sample is positioned at the test location and illuminated by the probe beam. In response, the calibration sample sends a known response beam along the second beam path to the light detector. The light source and the light detector are calibrated using this known response beam from the calibration sample. For compensation, a reference sample is placed at the beam crossing. The reference sample is positioned such that in response to illumination by the probe beam it sends a reference beam along the second beam path to the light detector. This reference beam is used to simultaneously compensate the source and detector drift. Conveniently, the calibration sample is a highly reflective sample of well-known reflectivity.

The compensation step can be repeated at any time by inserting the reference sample at the beam crossing after calibration of the light source and detector. The compensation is based on a relation established between the known response beam and
5 reference beam. For example, the relation can be established based on the detector current intensities obtained while receiving the known response beam and the reference beam.

During testing a test sample is placed at the test location and
10 illuminated by the probe beam. In response, the test sample sends a response beam along the second beam path to the light detector. In accordance with the invention, compensation of source and detector drift can be performed while the test sample is in place at the test location by inserting the
15 reference sample at the beam crossing.

It is convenient when the reference sample is selected to have a particular response level to the probe beam. In particular, the intensity of the reference beam generated by the sample is
20 preferably within a certain range of the intensity of the response beam from the test sample. It is also convenient when the probe and response beams are collimated at the beam crossing.

25 A system for simultaneously compensating source and detector drift in accordance with the invention includes the test location, the first beam path and the second beam path intersecting the first beam path at the beam crossing. Furthermore, the system has the calibration sample producing a
30 known response beam to the probe beam and the reference sample for placing at the beam crossing. A first control unit, which controls the sensitivity of the detector, is used for calibrating the light source and detector using the response beam. A second control unit, which controls the intensity of

toroidal mirror and a second path length from the first toroidal mirror to the fourth toroidal mirror be equal.

Brief Description of the Figures

5 Fig. 1 is a simplified prior art optical measurement arrangement exhibiting source and detector drift.

Fig. 2 is a schematic view of an optical system according to the invention.

10 Fig. 3 is a schematic view of an embodiment of an optical system according to the invention using reflective optics.

Fig. 4 is a schematic view illustrating a portion of the optical system of Fig. 3.

15 Fig. 5 is a schematic view of another embodiment of the optical system according to the invention using refractive optics.

Fig. 6A illustrates a portion of another optical system according to the invention.

20 Fig. 6B illustrates a portion of still another optical system according to the invention.

DETAILED DESCRIPTION

Fig. 2 illustrates an optical system 50 for simultaneously compensating a source drift and a detector drift, which is used to measure physical properties of a test sample. As shown in Fig. 2, a calibration sample 52 is positioned at a test location and illuminated with a probe beam 54. Probe beam 54 is generated by a light source 56. Light source 56 can be a laser, a incandescent bulb, a gas discharge tube or any other light source capable of generating probe beam 54 of requisite characteristics. In many applications, probe beam 54 has to span a particular wavelength range $\Delta\lambda$. When wavelength range $\Delta\lambda$ is large, several light sources may be combined to form a broadband source.

10 Upon illumination with probe beam 54 calibration sample 52
generates a known response beam, which is used for calibrating
the light source 56 and the detector 68. Depending on
calibration sample 52 and probe beam 54, the known response
beam can be a reflected beam 62, a transmitted beam 64 or both.
15 Thus, either or both beams 62, 64 can be treated as the
response beam. Calibration sample 52 typically is a silicon
sample whose reflection characteristics are well-known (e.g.,
can get from literature or measurement on another device). In
the present discussion we will first treat only reflected beam
20 62 as the response beam.

Reflected beam **62** propagates along a second optical beam path **66** from calibration sample **52** to a light detector **68**. Light detector **68** can be any suitable light sensitive device such as a photodetector, a charge coupled device, a phototransistor, a spectrophotometer or any other photosensitive device. When source **56** is a broadband source then detector **68** is preferably a broadband detector, e.g., a spectrophotometer, sensitive to light spanning the entire wavelength range $\Delta\lambda$.

Second beam path **66** is arranged such that it crosses first beam path **58** at a beam crossing **70**. In other words, probe beam **54** and response beam, in this case reflected beam **62**, are guided such that they cross at beam crossing **70**. This can be

accomplished by using any suitable beam guiding elements in beam paths 58, 66. In this embodiment a mirror 72 is used in second beam path 66 to re-direct reflected beam 62 such that it crosses probe beam 54 at beam crossing 70. Also, a focussing lens 74 is also positioned in second beam path 66 for focussing reflected beam 62 on detector 68. It will be clear to a person skilled in the art that optical elements used in beam paths 58, 66 to produce beam crossing 70 and properly guide probe and response beams 54, 62 will depend on the geometry of optical system 50.

When transmitted beam 64 is used as the response beam two planar mirrors 76, 78 guide transmitted beam 64 to cross probe beam 54 at beam crossing 70. Also, a focusing lens 86 is positioned between two planar mirrors 76, 78 for preventing the divergence of the transmitted beam 64. Again, a person skilled in the art will recognize that the optical elements to cross transmitted beam 64 with probe beam 54 at beam crossing 70 will depend on the geometry of optical system 50.

A removable reference sample 80 indicated in a dashed line is positioned at beam crossing 70. Preferably, a mechanical or an electro-mechanical unit (not shown) is used to place reference sample 80 at beam crossing 70 and remove it during measurement of a test sample. Reference sample 80 is a reflective sample over an entire wavelength range $\Delta\lambda$ of probe beam 54, and when in place at beam crossing 70 it reflects probe beam 54 to detector 68. The reflectivity R of the reference sample 80 is high enough to get a signal. For example, when probe beam 54 spans a wavelength range from 120 nm to 2000 nm reference sample 80 is a quartz sample exhibiting a nearly uniform 100% reflectivity over this wavelength range. Furthermore, the reflectivity of reference sample 80 can be closed to that of

remainder of optical system 50 for dynamic range purpose within a predetermined range.

Calibration sample 52 positioned at the test location is held on a stage 82, of which only a portion is shown. Stage 82 enables calibration sample 52 to be moved or scanned as indicated by arrow A. In fact, stage 82 can be of the type permitting displacement of calibration sample 52 in any desired direction. In some embodiments calibration sample 52 is kept in a controlled environment, e.g., in a vacuum chamber. In these cases stage 82 will exhibit the necessary adaptations, as is known in the art.

First control units 84 is connected to detector 68 for controlling the detection sensitivity over wavelength range $\Delta\lambda$. Second control unit 88 is connected to light source 56 for controlling the light intensity over wavelength range $\Delta\lambda$. In particular, control units 84 and 88 have suitable amplification stages (not shown) to perform these adjustments. Alternatively, control units 84 and 88 can be connected to control mechanisms of source 56 and detector 68 respectively. In yet another embodiment, additional tuning and/or adjustment devices can be used to control the intensity of light source 56 and sensitivity of detector 68. In fact, any approaches to controlling source intensity and detection sensitivity known in the art can be employed. Alternatively, first and second control units 84 and 88 can be integrated in one control unit 90.

To calibrate the light source 56 and the detector 68, optical system 50 is first operated with the reference sample 80 removed from beam crossing 70. Calibration sample 52 is a reflective sample over wavelength range $\Delta\lambda$ and has a known reflectivity R, such as silicon wafer. For example, the

reflectivity R of silicon sample 52 is about 50% from 120 nm to 2000 nm (refer to a graph of reflectivity as a function of wavelength λ in Fig. 2).

5 In the present embodiment light source 56 delivers probe beam 54 covering wavelength range $\Delta\lambda$ and calibration sample 52 has a reflectivity R of approximately 100% across that wavelength range. In most cases, however, reflectivity R will vary as a function of wavelength λ ; $R=R(\lambda)$. The intensity I_s of probe
10 beam 54 is variable over wavelength range $\Delta\lambda$. In other words, I_s of probe beam 54 is also a function of wavelength λ ; $I_s=I_s(\lambda)$. Detector 68 is sensitive to light spanning wavelength range $\Delta\lambda$. However, the response of detector 68 is assumed to be linear since the response may differ with λ , but
15 current to number of photon is linear.

When probe beam 54 is turned on it propagates along first beam path 58 and is incident on calibration sample 52. In response, calibration sample 52 sends a known response beam in reflected
20 beams 62 along the second beam path 66 to the light detector 68. Since the reflectivity of calibration sample 52 is R, the following relationship exists between intensity I_s and detection signal I_d :

$$I_d=[R + \gamma(\lambda)]I_s,$$

where $\gamma(\lambda)$ is conditioned by system 50 and in particular the optical components in first and second beam paths 58 and 66. The entire spectrum of light of the known response beam is used
30 for calibrating the light source 56 and the detector 68.

Control unit 84 now performs a relative calibration of source 56 and detector 68 by adjusting the sensitivity of the detector 68. Adjusting the intensity of detector 68 is preferable



because turning up the light source 56 will alter a gain across the spectrum at different rates. However, if the light source 56 is a narrow band source, e.g., single λ value, adjusting the intensity of the light source 56 is also preferable. Also, either of the detector 68 and the light source 56 should not be adjusted after baseline is set. Furthermore, control unit 84 is not necessary for some applications. The relative calibration of source 56 and detector 68 is performed by adding a " δR " value to the data to maintain the ΔR value between the known response beam and reference beam, which is shown in the graph with respect to Fig. 2

Thus, relative calibration establishes a linear relationship between intensity value I_s and corresponding detection signal I_d across entire wavelength range $\Delta\lambda$.

During regular operation a test sample 52A (shown in dashed line) is positioned in the place of calibration sample 52, e.g., at the test location on stage 82, and the response beam, i.e., either reflected beam 62 or transmitted beam 64 (or both), is detected by detector 68. The data obtained from the response beam is used to analyze the physical properties of test sample 52 in accordance with any suitable technique known in the art. Furthermore, this method can be used to study the reflectivity characteristics of all the optical components in optical system 50 by operating this optical system without a test sample in place and with a 100% reflectivity test sample in place.

After a set time period or whenever drift of source 56 and/or detector 68 affects the measurement process, reference sample 80 is placed at beam crossing 70 while the test sample 52 is in place at the test location. As a result, probe beam 54 is reflected directly to detector 68 through lens 74. The

Optical fiber 116 guides probe beam 110 to a focussing lens 118 which focuses probe beam 110 on a calibration sample 120. Calibration sample 120 reflects a portion of the incident light from probe beam 110 in the form of reflected beam 122, which is the response beam in this embodiment.

Response beam 122 is guided along a second beam path 128 from calibration sample 120 to a light detector 130. In particular, response beam 122 is focussed by a focussing lens 124 into an optical fiber 126. Optical fiber 126 guides response beam 122 to a third toroidal mirror 132 which collimates response beam 122. Thus, a collimated response beam 123 is reflected from third toroidal mirror 132 to a fourth toroidal mirror 134. Fourth toroidal mirror 134 focuses response beam 122 into an optical fiber 142 on detector 130.

An integrated control unit 136 connected to detector 130 and to light source 102 controls these to obtain relative calibration and to re-establish the relative calibration during regular operation of testing a test sample in the manner discussed above. To re-establish relative calibration during operation, a reference sample 138 is placed at a beam crossing 140 between probe beam 110 and response beam 122. In fact, beam crossing 140 is actually between collimated probe beam 109 and collimated response beam 122. This location of beam crossing 140 is advantageous because angle θ_r is nearly the same for the whole beam whereas a non-collimated beam has different θ_r (as shown in Fig. 6). In addition, this location of beam crossing 140 is better for imaging point to point, e.g., for imaging source 102 into fiber 116 and fiber 126 into detector 130.

The use of optical fibers 116 and 126 in guiding probe beam 110 and response beam 122 is advantageous due to the mechanical

flexibility optical fibers. This flexibility permits the user to alter the arrangement of optical system 100 with minimal effort and in short time.

5 A further advantageous aspect optical system 100 is illustrated in the partial view of Fig. 4. Here the re-establishment of relative calibration between source 102 and detector 130 is being effectuated while reference sample 138 is in place at beam crossing 140. The optical path length between first
10 toroidal mirror 112 and second toroidal mirror 114 is equal to $L_1 + L_3$. The optical path length from first toroidal mirror 112 to second toroidal mirror 114 is equal to $L_1 + L_2$. It is preferable that $L_2 = L_3$ such that the optical path lengths from
15 first to second toroidal mirrors and from first to fourth toroidal mirrors are equal. In this manner any effects of system 100 on the propagation of probe beam 110 are factored out.

A further advantage optical system 100 resides in its usage of
20 toroidal mirrors. This is especially important when $\Delta\lambda$ is very large since chromatic effects are thus minimized.

Fig. 5 illustrates another embodiment of an optical system 200 according to the invention. System 200 uses refractive optics
25 in the form of lenses 202, 204 in a first optical beam path 206. Lens 202 collimates a probe beam 208 emerging from a light source 210 to produce a collimated probe beam 209. Lens 204 focuses collimated probe beam 209 into an optical fiber 212. Fiber 212 delivers probe beam 208 to a focusing lens 214,
30 which guides probe beam 208 to a calibration sample 216.

A response beam 218 in the form of reflected beam propagates from calibration sample 216 along a second optical beam path 220 and is in-coupled into an optical fiber 222. In fact,

toroidal mirror 306. Also, a second toroidal mirror 308 is used to focus a response beam 310 returning from the calibration sample (not shown) via a second fiber 312 on a detector 314. A reference sample 316 is placed at a beam crossing 318 between probe and response beams 300, 310. As indicated above, non-collimated beams 300, 310 have different θ_r .

Yet another alternative embodiment using refractive optics in the form of lenses 400 and 402 is shown in Fig. 6B. A probe beam 404 emitted from a light source 406 is focussed by lens 400 into a first fiber 408. First fiber 408 delivers probe beam 404 to a calibration sample (not shown). A response beam 410 returning from the calibration sample via second fiber 412 is focused by lens 402 on detector 414. A reference sample 416 is positioned at a beam crossing 418.

Optical systems in Figs. 6A and 6B have fewer elements, thus lower cost of manufacturing.

It will be clear to one skilled in the art that the above embodiments may be altered in many ways without departing from the scope of the invention. Accordingly, the following claims and their legal equivalents should determine the scope of the invention.